

Translation

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PATENT COOPERATION TREATY

PCT/JP2002/011993



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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference 536659WO01	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/JP2002/011993	International filing date (day/month/year) 18 November 2002 (18.11.2002)	Priority date (day/month/year)
International Patent Classification (IPC) or national classification and IPC G06F 13/00, 15/00		
Applicant MITSUBISHI DENKI KABUSHIKI KAISHA		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of <u>4</u> sheets, including this cover sheet.  <input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).  These annexes consist of a total of _____ sheets.
3. This report contains indications relating to the following items:  I <input checked="" type="checkbox"/> Basis of the report II <input type="checkbox"/> Priority III <input type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability IV <input type="checkbox"/> Lack of unity of invention V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement VI <input type="checkbox"/> Certain documents cited VII <input type="checkbox"/> Certain defects in the international application VIII <input type="checkbox"/> Certain observations on the international application

Date of submission of the demand 06 August 2003 (06.08.2003)	Date of completion of this report 11 May 2004 (11.05.2004)
Name and mailing address of the IPEA/JP	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/JP2002/011993

## I. Basis of the report

## 1. With regard to the elements of the international application:\*

- ☒ the international application as originally filed
- ☐ the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the claims:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, as amended (together with any statement under Article 19  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the drawings:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_
- ☐ the sequence listing part of the description:  
pages \_\_\_\_\_, as originally filed  
pages \_\_\_\_\_, filed with the demand  
pages \_\_\_\_\_, filed with the letter of \_\_\_\_\_

## 2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- ☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of the translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

## 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. ☐ The amendments have resulted in the cancellation of:

- ☐ the description, pages \_\_\_\_\_
- ☐ the claims, Nos. \_\_\_\_\_
- ☐ the drawings, sheets/fig \_\_\_\_\_

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rule 70.16 and 70.17).

\*\* Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.  
PCT/JP 03/11993

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

### 1. Statement

Novelty (N)	Claims	2-11	YES
	Claims	1	NO
Inventive step (IS)	Claims	5, 7, 9, 11	YES
	Claims	1-4, 6, 8, 10	NO
Industrial applicability (IA)	Claims	1-11	YES
	Claims		NO

### 2. Citations and explanations

List of documents cited in the international search report

1. JP 2002-215503 A (Sony Corp.), 2 August 2002, entire text; all drawings
2. JP 2002-183114 A (Yugen Kaisha Jeneshisu), 28 June 2002, entire text; all drawings

#### Claim 1

The invention set forth in claim 1 lacks novelty over document 1 cited in the international search report.

Document 1 discloses a data system wherein in response to a request made by a client via a conversion device (equivalent to the "network connection device" in the present invention) to a server for a document containing script (equivalent to the "processing data" in the present invention), the script portion of said document is stored in the aforementioned conversion device and thereafter entered.

#### Claims 2-4, 6, 8 and 10

The inventions set forth in claims 2-4, 6, 8 and 10 do not involve an inventive step in the light of documents 1 and 2 cited in the international search report.

Document 2 indicates that processing commands are embedded in the HTML text comment portion.

Claims 5, 7, 9 and 11

The inventions set forth in claims 5, 7, 9 and 11 involve an inventive step in relation to documents 1 and 2 cited in the international search report.

Neither document 1 nor document 2 cited in the international search report discloses or suggests the feature wherein the processing data written in the comment portion is setting data for the network connection device and the feature wherein said processing data exists outside of the HTML text and only its positional information is written in the HTML text comment portion.

In the response to the written opinion the applicant argues that the invention set forth in claim 1 (and its dependent claims) differs from those set forth in the aforementioned documents in terms of the feature wherein "the processing data embedded in the data for transfer is extracted, and in this case the processing of the contents contained within said processing data is executed" and the feature wherein "the transfer data having had the processing data extracted is transmitted to the client via a network connection device."

However, claim 1 (and its dependent claims) merely teaches "the network connection device obtains the aforementioned transfer data prepared by the aforementioned data preparation process, extracts the processing data and executes the processing" and there is no mention of the configuration indicated by the applicant in the response to the written opinion.